



PATENT
245001-2000.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary KATZ and Greg MAYNARD
Application : 10/771,993
Filed : February 3, 2004
For : AUTOMATED EXCHANGE FOR TRADING COMPLEX
ORDERS
Group Art Number : 3622
Examiner : TBA

New York, New York 10151

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Date of Deposit: July 30, 2004

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Charles Jackson

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Charles Jackson

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INFORMATION DISCLOSURE STATEMENT

MAIL STOP APPLICATION
Commissioner for Patents
P.O Box 1450
Alexandria, VA 22313-1450

Sir:

The Examiner's attention is invited to the following documents which are set forth in the

accompanying form PTO-1449. This Information Disclosure Statement is not a representation that the cited documents considered most pertinent, or that a search has been undertaken, or that any of the cited documents are indeed prior art. The Examiner is invited to undertake an independent search.

Applicant respectfully requests that the Examiner consider and make of record the cited documents and that a copy of Form PTO-1449, initialed by the Examiner be returned to applicant's attorneys.

It is believed that no fees are required by the instant submission as it is prior to receipt of the first Office Action in this application. However, if any fees are required, or if any overpayment has been made, please charge Deposit Acct. No. 50-0320.

Respectfully submitted,

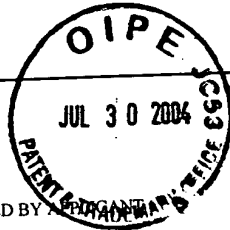
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Enc. - Form PTO 1449

Based on Form PTO-1449
(3/90)



LIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

ATTY. DOCKET NO.

245001-2000.2

SERIAL NO.

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APPLICANT

Gary Katz and Greg Maynard

FILING DATE

February 3, 2004

GROUP

3622

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7XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER					DATE CONSIDERED			
<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>								